



Attorney's Docket No.: 12023-003001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Karun Philip et al.

Art Unit : Unknown

Serial No. : 09/693,568

Examiner : Unknown

Filed : October 20, 2000

Title : SEGREGATION AND MANAGEMENT OF FINANCIAL ASSETS BY RULES

Technology Center 2100

Commissioner for Patents

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

3/12/01

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

March 13, 2001

Signature

Typed or Printed Name of Person Signing Certificate

Marie Collins